PTC/SB/21 (02-04)
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to a callection of information unless it disclars a valid OMB control number. Under the Paperwork Reduction Act of 1995, no persons are required to respond to Application Number 10/015,353 Filing Date TRANSMITTAL December 11, 2001 RECEIVED FORM First Named Inventor CENTRAL FAX CENTER R. Terry K. Baker Art Unit 1754 (to be used for all correspondence after initial filing) Examiner Name NOV 1 2 2004 Stuart L. Hendrickson Attorney Docket Number 17462-4 Total Number of Pages in This Submission ENCLOSURES (Check all that apply) After Allowance communication to Technology Center (TC) Drawing(s) Fee Transmittel Form Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Petition Amendment/Reply Petition to Convert to a Proprietary Information Provisional Application After Final Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Terminal Disclaimer Identify below): **Extension of Time Request** Request for Refund Express Abandonment Request CD, Number of CD(s) information Disclosure Statement Remarks Cartifled Copy of Priority Document(s) Amendment and Request to Add Name of Inventor of Invention Originally Disclosed and now being Claimed (37 C.F.R. 1.48(A) - Nonprovisional Application (2 pages); Statement of R. Terry K. Baker (2 pages); Response to Missing Parts/ Incomplete Application Statement of Halyou Wang (1 page). Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Henry E. Naylor Kean, Miller, Hawthomo, D'Armond, McCowan & Jarman, L.L.P. Individual name Signature 09 Date ے دیسوے CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Henry E. Naylor, #27,461 Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122/and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, propering, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Committacionar for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

NOV 1 2 2004

In re application of)
R. Terry K. Baker et al.) Art Unit: 1754
Serial No. 10/015,353) Before the Examiner:) Stuart Hendrickson
Filed: December 11, 2001	.)

MAIL STOP AMENDMENT Comissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Title: Preparation of Multifacted Graphite Nanotubes

AMENDMENT AND REQUEST TO ADD NAME OF INVENTOR OF INVENTION ORIGINALLY DISCLOSED AND NOW BEING CLAIMED (37 C.F.R. 1.48(A) – NONPROVISIONAL APPLICATION

1.

This amendment and request is to correct the incorrect original naming of inventors in the declaration under 37 C.F.R. § 1.48(a) as set forth and filed on December 11, 2001.

2.

The following previously unnamed person is to be added as inventor of this application:

Haiyou Wang

3.

Attached is

(1) a statement from Dr. R. Terry K. Baker, one of the actual inventors, attesting that the error in inventorship occurred without deceptive intention on his part (37 C.F.R. § 1.48(a)(1); and

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(2) a statement from Haiyou Wang, the person being added as an inventor, that the error in inventorship occurred without deceptive intention on his part (37 C.F.R. § 1.48(a)(1).

4.

If there is a required fcc, please pay as follows:

Authorization is hereby made to charge any required fee for filing this Amendment and Request to Add Name of Inventor to Deposit Account No. 11-0245.

Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

Respectfully submitted,

Henry E. Naylor, Reg. No. 27,461

Attorney for Applicants

Kean Miller

P. O. Box 3513

Baton Rouge, LA 70821-3513

Tel. No. (225) 389-3721